

# Rollover Form (page 1 of 2)

The Animation Guild 401(k) Plan

51878-1-1

SOCIAL SECURITY NUMBER	FIRST NAME	LAST NAME	MI
STREET ADDRESS		E-MAIL ADDRESS	
CITY	STATE	ZIP	
BIRTH DATE	MARITAL STATUS <input type="checkbox"/> MARRIED <input type="checkbox"/> SINGLE OR LEGALLY SEPARATED	GENDER (OPTIONAL) <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE	HOME PHONE

## ROLLOVER INFORMATION

TAXABLE PORTION  
(include investment income)

Amount of Rollover Contribution:

\$

*Note: Rollovers cannot include required minimum distributions. Participant after-tax contributions can only be included in a direct rollover from a qualified plan.*

I certify that this distribution is not (a) one of a series of substantially equal payments payable over my life or single life expectancy or the joint life or joint life expectancies of myself and my designated beneficiary; (b) one of a series of installment payments payable over 10 years or more; (c) all or part of a required minimum distribution; (d) a distribution due to financial hardship; (e) a return of any excess deferrals, excess contributions, excess aggregate contributions or excess annual additions made to the plan; or (f) a deemed distribution due to a loan default. Finally, I certify that this rollover is being accomplished within 60 days of my receipt of the distribution from my prior employer's qualified retirement plan.

**Payment:** Make payable to MassMutual. Include the employee's social security number and the new plan's account number on the check.

Check attached       Wire or Check sent separately to MassMutual Retirement Services by the Prior Plan.

**PLEASE CALL:** 1-888-526-6905, if you have any questions.

## INVESTMENT SELECTION (New enrollees only) – 3 Easy Options to Invest Your Retirement Contribution

Until you make your investment selection, all of your contributions will be invested in the Target Asset Allocation Investment Option listed below which has the target retirement date closest to your 65th birthday. If you are near, at or past your 65th birthday, your contribution will be invested in the target asset allocation investment option that shows no target retirement date. Following your enrollment, you will receive a transaction confirmation that will tell you specifically in which Target Asset Allocation Option your contributions have been invested. Subject to certain restrictions, you may redirect your contributions to any other investment option under the Plan at any time.

### Investment Options

Investment Options	Option 1 Choose Your Own Rollover	OR				
		Option 2 Take the Investor Profile Quiz Choose Only One Custom Portfolio				
		100% <input type="checkbox"/>	100% <input type="checkbox"/>	100% <input type="checkbox"/>	100% <input type="checkbox"/>	100% <input type="checkbox"/>
		Short Term	Conservative	Moderate	Aggressive	Ultra Aggressive
Fixed Interest Fund	_____ %	95%	21%	6%	2%	—
Select PIMCO Total Return	_____ %	5%	49%	34%	14%	—
Vanguard Target Ret 2010	_____ %	—	—	—	—	—
Vanguard Target Ret 2020	_____ %	—	—	—	—	—
Vanguard Target Ret 2030	_____ %	—	—	—	—	—
Vanguard Target Ret 2040	_____ %	—	—	—	—	—
Vanguard Target Ret 2050	_____ %	—	—	—	—	—
Vanguard Target Ret Income	_____ %	—	—	—	—	—
Lg Cap Value (Beacon)	_____ %	—	4%	7%	10%	12%
Select Indexed Equity (Northern Trust)	_____ %	—	3%	6%	9%	11%
Spectrum Growth (T. Rowe Price)	_____ %	—	3%	6%	9%	11%
Socially Resp (Neuberger Berman)	_____ %	—	4%	6%	8%	12%
Growth (American Century)	_____ %	—	4%	8%	10%	12%
Mid Cap Value (CRM)	_____ %	—	—	1%	2%	1%
Mid Cap Index (Northern)	_____ %	—	2%	6%	6%	7%
Select Mid Cp Gro II (TRP/Frontier)	_____ %	—	—	1%	2%	1%
NFJ Small Cap Val (Allianz)	_____ %	—	1%	1%	2%	2%
Small Cap Index (Northern)	_____ %	—	3%	6%	7%	9%
Gabelli Small Cap Growth	_____ %	—	1%	1%	1%	1%
Janus Triton Fund	_____ %	—	—	—	1%	1%
EuroPacific Growth (American)	_____ %	—	2%	5%	8%	10%
Int Equity Index (Northern)	_____ %	—	3%	6%	9%	10%
<b>Total contributions within each column must add up to 100%</b>	<b>100%</b>					

(ENTER WHOLE PERCENTAGES; 1% MINIMUM IN INVESTMENTS SELECTED; MULTIPLES OF 1% THEREAFTER. (NO FRACTIONS, DECIMALS OR DOLLARS))

**OR Turn Page For Option 3**

# Rollover Form (page 2 of 2)

## INVESTMENT SELECTION continued

### Option 3 Target Asset Allocation Investment Options

**Target Asset Allocation Investment** options are single solutions that offer professional management and monitoring as well as diversification – all in one investment. Each investment has an automatic process that invests more conservatively as retirement nears and the options are named to coincide with a particular retirement date. Your plan is designed to invest your contributions into one of these options as the default investment based on your date of birth and a projected retirement age of 65. You may always choose new investment options at any time.

- 100% to Vanguard Total Retirement Income Fund
- 100% to Vanguard Total Retirement 2010 Fund
- 100% to Vanguard Total Retirement 2020 Fund
- 100% to Vanguard Total Retirement 2030 Fund
- 100% to Vanguard Total Retirement 2040 Fund
- 100% to Vanguard Total Retirement 2050 Fund

Unless you Choose Your Own investment options, the investment portfolios are provided at your Sponsor's request and are based upon the investment options available in your Plan. Your Plan may also offer other investment options not included in these portfolios.

**IMPORTANT NOTE:** IF YOU ENROLL BY MAILING THIS FORM TO MASSMUTUAL, BUT THEN SUBSEQUENTLY CHANGE YOUR ELECTIONS BY CALLING 1-800-743-5274 OR LOGGING IN TO WWW.RETIRESMART.COM, THE MOST RECENTLY DATED ACTIVITY WILL PREVAIL.

**Investors should consider an investment's objectives, risks, charges and expenses carefully before investing. For this and other information, see a fact sheet or the applicable prospectus available from your plan sponsor at [www.retiresmart.com](http://www.retiresmart.com) or by contacting our Participant Information Center at 1-800-743-5274 between 8:00 a.m. and 8:00 p.m. ET, Monday through Friday. Read it carefully before investing.**

#### PARTICIPANT SIGNATURE:

I certify that the above Rollover Contribution was an eligible rollover distribution from either a 401(a) qualified plan, 403(a) qualified annuity plan, 403(b) tax-sheltered annuity plan, traditional IRA, or 457(b) government plan.

\_\_\_\_\_  
PARTICIPANT

\_\_\_\_\_  
DATE

#### Mail To:

For standard delivery:  
MassMutual Retirement Services  
PO Box 219062  
Kansas City, MO 64121

For overnight delivery:  
MassMutual Retirement Services  
430 W. 7th St.  
Kansas City, MO 64105