



# Rollover Contribution Request

## The Animation Guild 401(k) Plan

Plan # 094523

### I. Personal Information (Please complete in ink.)

Please be aware that it is the participant's responsibility to ensure that Vanguard has the most current address on their retirement account. Please contact Vanguard to determine how to update your address.

Social Security #:	<input type="text"/>		
Name: (Last, First, MI)	<input type="text"/>		
Address:	<input type="text"/>		
City:	<input type="text"/>	State: <input type="text"/>	Zip: <input type="text"/>
Date of Birth: (mm/dd/yyyy)	<input type="text"/>		
Date of Hire: (mm/dd/yyyy)	<input type="text"/>		
Contact Phone #:	<input type="text"/>		

### II. Rollover Amount

I elect to deposit a rollover into The Animation Guild 401(k) Plan. I understand that the rollover may include salary reduction contributions (pre-tax, and/or employer contributions, and the earnings thereon.

Cash: Total amount of my rollover is: \$

**Note:** The check should be made payable to: **Vanguard Fiduciary Trust Company FBO "Your Name", last 4 digits of Social Security Number**. Mail check and completed form using the Mailing Instructions. Payment must be by employer or institutional check, cashier's check, or money order. **Personal checks are not accepted.**

### III. Prior Plan Type ☐ Qualified Plan

Please make a copy for your records.

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(07/16/2014)

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## IV. Investment Election

Please invest the enclosed rollover check in the following manner. Allocations must be in increments of 1%.  
Make one of the two choices below.

☐ Please invest my eligible rollover money using my current fund allocations.

**-OR-**

☐ Choose new fund allocations for your rollover in the boxes below.

### Target Retirement Funds

If you choose this investment approach, you may want to consider investing 100% of your contributions in one Vanguard Target Retirement Fund.

Fund Code	Fund Name	Allocation	Fund Code	Fund Name	Allocation
000308	Vanguard Target Retirement Income Fund	<input type="text"/>	000305	Vanguard Target Retirement 2035 Fund	<input type="text"/>
000681	Vanguard Target Retirement 2010 Fund	<input type="text"/>	000696	Vanguard Target Retirement 2040 Fund	<input type="text"/>
000303	Vanguard Target Retirement 2015 Fund	<input type="text"/>	000306	Vanguard Target Retirement 2045 Fund	<input type="text"/>
000682	Vanguard Target Retirement 2020 Fund	<input type="text"/>	000699	Vanguard Target Retirement 2050 Fund	<input type="text"/>
000304	Vanguard Target Retirement 2025 Fund	<input type="text"/>	001487	Vanguard Target Retirement 2055 Fund	<input type="text"/>
000695	Vanguard Target Retirement 2030 Fund	<input type="text"/>	001691	Vanguard Target Retirement 2060 Fund	<input type="text"/>

### Core Funds

Or for the following list of funds, specify percentages in 1% increments.

Fund Code	Fund Name	Allocation	Fund Code	Fund Name	Allocation
006066	DFA Five-Year Global Fixed Income Portfolio	<input type="text"/>	002977	DFA US Targeted Value Portfolio	<input type="text"/>
002187	DFA International Small Company Portfolio	<input type="text"/>	003266	American Beacon Large Cap Value Fund	<input type="text"/>
003182	DFA Emerging Markets Portfolio	<input type="text"/>	006103	Principal LargeCap Growth Fund	<input type="text"/>
0000X7	Vanguard Small-Cap Index Fund	<input type="text"/>	000094	Vanguard Institutional Index Fund	<input type="text"/>
002690	Neuberger Berman Socially Responsive Fund	<input type="text"/>	0000XE	Vanguard Mid-Cap Index Fund	<input type="text"/>
006110	MassMutual Select Mid Cap Growth Equity Fund II	<input type="text"/>	000584	Vanguard Total Bond Market Index Fund	<input type="text"/>
001869	Vanguard Total International Stock Index Fund	<input type="text"/>	00007E	Vanguard Retirement Savings Trust III	<input type="text"/>
003186	American Funds EuroPacific Growth Fund	<input type="text"/>	002744	Western Asset Core Plus Bond Fund	<input type="text"/>

**Your allocations must total 100%**

**Note:** If you fail to complete the investment elections above, your contribution will automatically be invested in the Vanguard Target Retirement Fund.

## V. Authorization and Employee Certification

### Employee certifications for direct and indirect rollovers

I hereby certify that the prior plan type I selected in section III satisfies the applicable requirements described below.

**1. Qualified Plan.** The plan has received a favorable IRS determination letter or the plan is intended to satisfy the requirements of section 401(a) of the Internal Revenue Code. The plan administrator is not aware of any provision or operation of the plan that would result in disqualification.

### Additional Employee Certifications for Indirect Rollovers

If the rollover is an indirect rollover, in addition to the above certifications, I hereby certify the following:

1. I was entitled to the distribution from the prior plan or IRA as an employee, IRA owner, or spouse beneficiary;
2. The distribution was not one of a series of periodic payments;
3. I received the distribution from the prior plan or IRA not more than 60 days before the intended rollover contribution to the new plan; and
4. The entire amount of this rollover contribution would be taxable to me if it were not rolled over.

**Note:** It is important that **all** of the applicable certifications you have made above are true and accurate. If any of the certifications are not accurate, please do not attempt to roll over your distribution to the Plan.

I have read and acknowledge the certifications above. **I understand that if any of the certifications made above are incorrect, my distribution is not eligible for rollover and I may be subject to adverse tax consequences as a result of the improper rollover.** I agree to promptly notify my employer if I later discover that any certifications are incorrect.

\_\_\_\_\_  
Signature of Participant

\_\_\_\_\_  
Date

Mailing Instructions

#### **U.S. Mail**

Vanguard  
P.O. Box 1101  
Valley Forge, PA 19482

#### **Overnight**

Vanguard  
400 Devon Park Drive  
Wayne, PA 19087